

# B.B.A. Financial Planning

Financial Advisors and Planners are accredited by the Certified Financial Planner Board of Standards (CFP Board), which ensures that advisors and planners meet strict educational, professional, and ethical requirements. While accreditation under the CFP Board is not always required to work as a Financial Advisor or Planner, it vastly improves professional opportunities for those holding the certification, either by itself or along with other certifications, such as Certified Public Accountant (CPA) or Chartered Financial Analyst (CFA).

In order to become a Certified Financial Planner, students must complete four key requirements:

- Education
  - Complete a bachelor's degree
  - Complete a seven-course sequence from a CFP Board Registered Program
- Exam
  - Complete a 170 Question, 6-Hour Multiple-Choice exam offered in March, July, and November
- Experience
  - Complete 6,000 hours of professional experience relating to financial planning, or complete 4,000 hours of an apprenticeship. This experience must be completed within five years of sitting for the exam - see details here
- Ethics
  - Complete the ethics declaration forms and pass a background check

The Financial Planning degree prepares students for a career as a Financial Planner or Advisor, by fulfilling the education requirement as stated above.

## General Education Requirements for all Bachelor's degrees

## Quality Enhancement Plan (QEP) Requirement

## Business Core Courses

Required of all BBA students.

*\*BUAD 202 is required of all Freshman BBA majors. Junior level transfer students may substitute a business elective for this class with approval from their advisor. Southwestern students who are changing from another major to a BBA are also required to take BUAD 202 unless their advisor recommends an alternative.*

Item #	Title	credits
ACCT 211	Accounting Principles I	3
ACCT 212	Accounting Principles II	3
BUAD 202	Introduction to Contemporary Business	3
BUAD 211	Profiles of Entrepreneurship	3
BUAD 270	Management Information Systems	3
BUAD 275	Introduction to Data Analytics	3
BUAD 301	Principles of Management	3
BUAD 311	Business Law	3
BUAD 460	Ethics and Business Social Responsibility	3
	BUAD 466 or ECON 401	3
BUAD 472	Business Policies and Strategies	3
ECON 211	Macroeconomics	3
ECON 212	Microeconomics	3
FNCE 321	Business Finance	3
IBUS 319	International Business	3
MKTG 343	Principles of Marketing	3

## Financial Planning Concentration

Item #	Title	credits
FNCE 301	General Principles of Financial Planning	3
FNCE 302	Risk Management & Insurance Planning	3
FNCE 303	Investment Planning	3
FNCE 304	Tax Planning	3
FNCE 305	Retirement Savings & Income Planning	3
FNCE 306	Estate Planning	3
FNCE 307	Financial Plan Development	3

## Cognate Requirements

\*\*BUAD 317 may be taken as an alternative to COMM 111 and also fulfill the communication competency requirement.

Item #	Title	credits
COMM 111	Speech	3
CSIS 106	Comprehensive Spreadsheets	3
MATH 141	Introduction to Probability and Statistics	3
	Total credits:	78

## Category Descriptions

### BUAD 466 or ECON 401

Credits: 3

Item #	Title	credits
BUAD 466	Production and Operations Management	3
ECON 401	Managerial Economics	3